

Data Bank Enhancements Take Effect November 5

The Data Bank is introducing important system enhancements on November 5, which are being implemented in response to system testing conducted throughout the year with user work groups. Users contributed valuable feedback for modifying system design and functionality in several key areas affecting both reporters and queriers. The two most significant changes are the introduction of related query responses and the addition of dental and osteopathic schools to the report form auto-complete. Details about these improvements follow.



Related Query Response – This enhancement will group together an initial report and any subsequent revision or correction reports related to the same event submitted by a reporter. The new related query response will enable queriers to see at a glance how multiple reports on a practitioner or subject may be related, thereby providing a clear picture of the report history. The changes incorporated in the query response re-design include:

- The [Query Response Cover Page](#) will group together an entity's reports that are related to one another. For example, a license reinstatement will be linked clearly to the earlier suspension, making it easier to determine relationships between the two reports. The old cover page looks like [this](#).
- Each [Report](#) will display the most useful information at the top of the first page: practitioner name, reporting entity, date of action, action description, and other reports that are part of the incident (if the incident has multiple reports).

Additional Continuous Query Enhancements - Continuous Query users will find many beneficial changes in the November upgrade, including:

- The highlighting of new, unviewed report disclosures within the [Manage Practitioners](#) page. The page is reorganized to emphasize the information and features that users value most. The old *Manage Practitioners* page looks like [this](#).
- The [Practitioner Details](#) page will indicate clearly how a new disclosure relates to a practitioner's other actions, and report activity is summarized in chronological order.
- The Report Information section, Disclosure Notice, and Report Disclosure will be accessible from a [single screen](#), eliminating the need to navigate among multiple pages of content to view a report.
- All [download options](#) are consolidated, enabling easy selection of preferred data.

Dental and Osteopathic Schools Auto-Complete – Dental and Osteopathic schools will be added to the drop-down menu for the Professional School Attended field; this is in addition to all previously available professional schools. Reporters can start typing the school's name, then select the correct school from the list that appears. The Report Input Form will populate with the school's information automatically – greatly reducing data entry time. Reporters will still be able to enter another school not found in the list.

We believe these enhancements will improve your workflow and interaction with the Data Bank, and make your job easier. We will continue to update you on future improvements.

July 2012 Compliance Posting Results and a Look Into the Future

The Data Bank regularly posts results of reviews by state and profession as part of our effort to inform the public about State Licensing Boards' compliance with required reporting of actions taken against regulated health care professions. On July 1, 2012, the Data Bank posted each state's reporting compliance status for behavioral health professions. This is the twelfth profession to be examined for report completeness and accuracy.



Behavioral health includes marriage and family therapists, professional counselors, addiction counselors, and several other mental health and addiction recovery licensed and certified professions. Compliance officers reviewed each state's regulated behavioral health occupations. Of the 320 behavioral health occupations reviewed, Boards were compliant with reporting requirements for 286 (89 percent).

The July posting also updated the compliance status of the 11 previously audited professions. For these 11 professions, of the 647 occupation titles reviewed, 639 are fully compliant (99 percent).

A definition for each status is provided on our [website](#). For a complete list of examined professions, visit the Data Bank's [Reporting Compliance Status](#) page.

In the future, the Data Bank's compliance efforts will take a state- and territory-based approach instead of the profession-based effort undertaken thus far. Every two years, we will examine reporting behavior by states on the 12 most queried professions. For all other health care professions, we will require State Licensing and Certification Boards to complete an attestation of their reporting compliance during the Data Bank registration renewal process. The upcoming review period will be for actions taken during 2010–11.

A Data Bank system enhancement called the Compliance Page was launched August 27. This improvement allows compliance officers to communicate more effectively with registered State Licensing Boards about the results of reviews, and enables Boards to see their compliance status, reconcile issues raised in the review, and submit missing reports needed to achieve compliance.

Like previous compliance postings, July's update is a result of our continuing, nationwide effort to educate and bring State Licensing Agencies into compliance with their legal reporting responsibilities. The Data Bank provides each state with a compliance officer to act as a helpful point of contact for any questions the State Boards may have, especially since the definition, licensing, and regulation of many health professions (such as behavioral health) vary from state to state. We also hold educational forums and [webinars](#) to resolve questions or issues from the states, and we encourage feedback on how we can help make reporting easier. We welcome any feedback you may have about the Data Bank or our compliance postings at help@npdb-hipdb.hrsa.gov.

Dear Data Bank

This column responds to questions about Data Bank policies and procedures. If you have a question, please email "[Dear Data Bank](#)." We look forward to hearing from you!

Question: Why was my Data Bank account suspended for nonrenewal?

Answer: The Data Bank must receive your registration renewal paperwork within a 90-day window beyond the renewal date or your Data Bank account will be suspended. Within this time period, access can be granted until the renewal is complete. If a user has an urgent need to access the Data Bank, the user can contact the [Customer Service Center](#) for instructions on how to proceed. For [registration renewal](#) information, view our website.

Question: Can reports be shared with entities other than the querying organization if there is a contractual relationship between the parties sharing the data, or for the purpose of an audit?

Answer: If an organization is using the National Practitioner Data Bank information for its intended purpose—to credential, license, or employ a practitioner—then the information may be shared within the organization. However, query responses cannot be shared outside this scope even if there is a contractual relationship. NCQA, The Joint Commission, or other auditors may only view the cover page, showing that the facility queried the Data Bank; they are not authorized to view the report details.

The practitioner's information is now shown first, so you can easily identify the subject of the query.

the DataBank

P.O. Box 10832
Chantilly, VA 20153-0832

http://www.npdb-hipdb.hrsa.gov

Entity Subject ID: 7600000024982296
DCN: 7910000074120213
Process Date: 12/15/2012 Page: 1 of 1
TEST, ROGER
For authorized use by:
GENERAL HOSPITAL

TEST, ROGER - CONTINUOUS QUERY RESPONSE

A. SUBJECT IDENTIFICATION INFORMATION (Recipients should verify that subject identified is, in fact, the subject of interest.)

Practitioner Name:	TEST, ROGER	Gender:	MALE
Date of Birth:	03/21/1948	Entity Subject ID Number:	7600000024982296
Social Security Number:	***-**-0036		
Work Address:	3004 85TH ST E, TEST CITY, VA, 55118		
Home Address:	91234 WALNUT ST E, TEST CITY, VA, 55118		
License:	OSTEOPATHIC PHYSICIAN (DO) - 222222, VA		
Professional School:	AMERICA COLLEGE (2001)		

B. CONTINUOUS QUERY ENROLLMENT INFORMATION

Enrollment Status:	Enrolled - 12/15/2012 - 12/31/2013 (Unless canceled prior to this date)
Statutes Queried:	Title IV (NPDB), Section 1921 (NPDB), Section 1128E (HIPDB)
Authorized Submitter:	JOHN SMITH, MANAGER, (703) 555-1212

C. SUMMARY OF REPORTS ON FILE WITH THE DATA BANK AS OF 12/15/2012

The following report types have been searched:

Medical Malpractice Payment Report:	No Reports	Health Plan Action:	No Reports
State Licensure Action:	Yes, See Below	Professional Society Action:	No Reports
Exclusion or Debarment Action:	No Reports	DEA/Federal Licensure Action:	No Reports
Government Administrative Action:	No Reports	Judgment or Conviction Report:	No Reports
Clinical Privileges Action:	No Reports	Peer Review Organization Action:	No Reports

MARYLAND STATE BOARD

STATE LICENSURE ACTION

Basis for Action: - MISLEADING, FALSE OR DECEPTIVE ADVERTISING OR MARKETING

Initial Action:	- REPRIMAND OR CENSURE	Date of Action:	04/08/2009
DCN:	200000000306751		

VIRGINIA STATE BOARD

STATE LICENSURE ACTION

Basis for Action: - VIOLATION OF STATE HEALTH CODE

Initial Action:	- PROBATION OF LICENSE	Date of Action:	05/23/2008
DCN:	5500238393556670		
Subsequent Action:	- SUSPENSION OF LICENSE	Date of Action:	09/03/2008
DCN:	5500238393556677		

----- Unabridged Report(s) Follow -----

Related reports are now grouped together, and the summary includes the reporting entity, action type, and basis for action. The incident with the most recent action will appear first. In this example, there are 2 separate incidents. The second incident has 2 reports: an initial action and a revision.

CONFIDENTIAL DOCUMENT - FOR AUTHORIZED USE ONLY

The enrollment and submitter information have been resized to allow more room for report information.

the DataBank

P.O. Box 10832
Chantilly, VA 20153-0832

http://www.npdb-hipdb.hrsa.gov

Entity Subject ID: 7600000024982296

DCN: 7930000072009385

Process Date: 05/24/2012 Page: 1 of 1

TEST, ROGER

For authorized use by:
GENERAL HOSPITAL

CONFIRMATION OF ENROLLMENT AS OF 05/24/2012

Subject is currently enrolled for continuous querying under the provisions of:

Title IV (NPDB)

Section 1921 (NPDB)

Section 1128E (HIPDB)

A. REPORTS ON FILE WITH THE DATA BANK(S) AS OF 05/24/2012

Based on the subject identification information provided, the following report(s) were found:

Type of Report(s)	Report Number(s)
Medical Malpractice Payment Report(s):	None
State Licensure Action(s):	200000000306751 5500238393556670 5500238393556677
Exclusion or Debarment Action(s):	None
Government Administrative Action(s):	None
Clinical Privileges Action(s):	None
Health Plan Action(s):	None
Professional Society Action(s):	None
DEA/Federal Licensure Action(s):	None
Judgment or Conviction Report(s):	None
Peer Review Organization Action(s):	None

B. SUBJECT IDENTIFICATION INFORMATION (Recipients should verify that subject identified is, in fact, the subject of interest.)

Subject Name: TEST, ROGER
 Entity Subject Identification Number: 7600000024982296
 Gender: MALE
 Date of Birth: 01/01/1950
 Other Name(s) Used:
 Organization Name:
 Organization Type:
 Work Address: 3004 85TH ST E
 City, State, ZIP: TEST CITY, VA 55118
 Home Address: 91234 WALNUT ST E
 City, State, ZIP: TEST CITY, VA 55118
 Social Security Numbers (SSN): ***-**-0000
 Individual Taxpayer Identification Numbers (ITIN):
 Professional School(s) & Year of Graduation: AMERICA COLLEGE (2001)
 Occupation/Field of Licensure (Code): PHYSICIAN (MD)
 State License Number, State of Licensure: 222222, VA
 Drug Enforcement Administration (DEA) Numbers:
 National Provider Identifiers (NPI):
 Federal Employer Identification Numbers (FEIN):
 Unique Physician Identification Numbers (UPIN):

C. ENROLLMENT INFORMATION

NPDB Enrollment Status: Enrolled HIPDB Enrollment Status: Enrolled
 NPDB Enrollment Dates: 05/24/2012 - 05/31/2013* HIPDB Enrollment Dates: 05/24/2012 - 05/31/2013*

* Unless enrollment is canceled by the entity prior to this date

D. ENTITY INFORMATION

Entity Name: GENERAL HOSPITAL (DBID ending in ...33)
 Authorized Agent:
 Authorized Submitter's Name: JOHN SMITH
 Authorized Submitter's Title: MANAGER
 Authorized Submitter's Telephone: (703) 555-1212

CONFIDENTIAL DOCUMENT - FOR AUTHORIZED USE ONLY

the DataBank

P.O. Box 10832
Chantilly, VA 20153-0832

http://www.npdb-hipdb.hrsa.gov

DCN: 5511223344556678
Process Date: 12/16/2012
Page: 1 of 2
TEST, ROGER
For authorized use by:
GENERAL HOSPITAL

TEST, ROGER

MARYLAND STATE BOARD

STATE LICENSURE ACTION

Date of Action: 03/01/2012

Initial Action

Basis for Initial Action

- Reprimand or Censure

- Writing Improper Prescriptions

A. REPORTING ENTITY

Entity Name: MARYLAND STATE BOARD
Address: 1234 BUSINESS LANE
SUITE 600
City, State, Zip: HALIFAX, MD 22245-6789
Country:
Name of Office: JACK SMITH
Title or Department: DIRECTOR
Telephone: (703) 555-2323
Entity Internal Report Reference:
Type of Report: CORRECTION
Previous Report Number: 5511223344556677 (Please destroy all copies of the previous report)

B. SUBJECT IDENTIFICATION INFORMATION (INDIVIDUAL)

NOTE: Information marked with an asterisk (*) was added, corrected, or removed.

Subject Name: GRIN, ROGER
Other Name(s) Used:
Gender: MALE
Date of Birth: 03/21/1948
Organization Name: GENERAL HOSPITAL
Work Address: 3004 85TH ST E
City, State, ZIP: INNER GROVE HEIGHTS, VA 53145
Organization Type: GENERAL/ACUTE CARE HOSPITAL (301)
* Home Address: 91234 WALNUT ST EAST
City, State, ZIP: INNER GROVE HEIGHTS, VA 53145
Deceased: NO
Federal Employer Identification Numbers (FEIN):
Social Security Numbers (SSN): ***-**-0036
Individual Taxpayer Identification Numbers (ITIN):
National Provider Identifiers (NPI):
Professional School(s) & Year(s) of Graduation: AUSBERG COLLEGE (2001)
Occupation/Field of Licensure (Code): PHYSICIAN (MD)
State License Number, State of Licensure: 21321331, VA
Specialty: AEROSPACE MEDICINE
Drug Enforcement Administration (DEA) Numbers:
Unique Physician Identification Numbers (UPIN):
Name(s) of Health Care Entity (Entities) With Which Subject Is Affiliated or Associated (Inclusion Does Not Imply Complicity in the Reported Action.):
Business Address of Affiliate:

CONFIDENTIAL DOCUMENT - FOR AUTHORIZED USE ONLY

A search box has been added so you can search for a practitioner's name.

You may filter/organize your practitioners by any of the column headings.

Entity: GENERAL HOSPITAL (FAIRFAX, VA) | User: JohnSmith

MANAGE PRACTITIONERS

Click a practitioner name to view the practitioner detail screen. From there you may view practitioner information, update the practitioner, cancel the enrollment, and view the practitioner's reports.

Submit New Query Advance Search Download

Practitioners Found: 15

Practitioner Last Name Search Status: Enrolled | All Reports on File: Yes | No | All

Name	License	Status	Renewal Month	Latest Disclosure
TEST, ROGER [Unviewed Disclosure]	Physician (MD) - 222222 (VA)	Enrolled	12/2013	12/16/2012
WATERMELON, JOHN [Unviewed Disclosure]	Nurse Practitioner - 1ABC23 (VA)	Enrolled	11/2013	12/16/2012
APPLE, PETER	Osteopathic Physician (DO) - 98765 (VA)	Enrolled	12/2013	
BANANA, SAM	Nurse Practitioner - 453231123 (VA)	Enrolled	12/2013	
BLUEBERRY, IRVIN	Osteopathic Physician (DO) - 24454345 (VA)	Enrolled	12/2013	01/03/2012
CHERRY, JANE	Nurse Practitioner - 89894 (VA)	Enrolled	12/2013	01/23/2012
GRAPEFRUIT, STEVE	Nurse Practitioner - 4357 (VA)	Enrolled	12/2013	04/04/2012
KIWI, TOBY	Nurse Practitioner - N444 (VA)	Enrolled	12/2013	01/03/2012
MANGO, JOHN	Registered (Professional) Nurse - LN387 (VA)	Enrolled	12/2013	
MELON, JANE	Physician (MD) - 22545 (VA)	Enrolled	12/2013	
ORANGE, VALERIE	Osteopathic Physician (DO) - D03333 (VA)	Enrolled	12/2013	01/03/2012

Return to Options

Practitioners with unviewed disclosures will be listed first.

Primary license has been added to the table to help identify practitioners.

Manage Practitioners - Windows Internet Explorer

Entity: GENERAL HOSPITAL (FAIRFAX, VA) | User: JohnSmith Sign Out

the DataBank
NATIONAL PRACTITIONER
HEALTHCARE INTEGRITY & PROTECTION

MANAGE PRACTITIONERS

Click a subject name to view the subject detail screen. From there you may view subject information, update the subject, cancel the enrollment, and view the subject's reports. Help ?

Subjects Found: 15

All	Name	Entity Subject ID	DOB	Dept	Renewal Month	Status	Latest Disclosure Date
<input type="checkbox"/>	APPLE, SAM		03/24/1928		12/2013	Enrolled	
<input type="checkbox"/>	BANANA, SAM		03/24/1928		12/2013	Enrolled	
<input type="checkbox"/>	BLUEBERRY, IRVIN		03/24/1928		12/2013	Enrolled	
<input type="checkbox"/>	CHERRY, JANE		05/05/1950		12/2013	Enrolled	05/25/2012
<input type="checkbox"/>	GRAPEFRUIT, STEVE		03/24/1928		12/2013	Enrolled	
<input type="checkbox"/>	KIWI, TOBY		05/05/1950		12/2013	Enrolled	05/25/2012
<input type="checkbox"/>	MANGO, JOHN		03/24/1928		12/2013	Enrolled	
<input type="checkbox"/>	MELON, JANE		05/05/1950		12/2013	Enrolled	05/25/2012
<input type="checkbox"/>	ORANGE, VALERIE		03/24/1928		12/2013	Enrolled	
<input type="checkbox"/>	RASPBERRY, STEVE		05/05/1950		12/2013	Enrolled	05/25/2012
<input type="checkbox"/>	TEST, ROGER	7600000024982296	09/09/1909		12/2013	Enrolled	
<input type="checkbox"/>	WATERMELON, JOHN		05/05/1950		12/2013	Enrolled	05/25/2012

From [] Through [] Filter Subjects (ALL) (ALL) (ALL) (ALL)

This screen also groups together related reports. The highlighting allows you to see the new disclosure in the context of the practitioner's other actions.

Here you can quickly get a PDF that includes all of the practitioner's reports.

Practitioner Details - Windows Internet Explorer

Entity: GENERAL HOSPITAL (FAIRFAX, VA) | User: JohnSmith | Sign Out

PRACTITIONER DETAILS

TEST, ROGER | License: Physician (MD) - 222222 (VA) | Enrolled Since 12/2012

[Download All Reports](#)

VIRGINIA STATE BOARD

State Licensure Action
Basis for Action: - Failure to maintain proper records
- Writing improper prescriptions

Initial Action:	- PROBATION OF LICENSE	Date of Action: 10/01/2009
DCN:	5500434567999900	
Subsequent Action:	- SUSPENSION OF LICENSE	Date of Action: 04/06/2010
DCN:	5500238393556677	
Subsequent Action: (Unviewed)	- LICENSE RESTORED OR REINSTATED, COMPLETE	Date of Action: 12/16/2012
DCN:	5500434656546799	

MARYLAND STATE BOARD

State Licensure Action
Basis for Action: - Writing improper prescriptions

Initial Action:	- REPRIMAND OR CENSURE	Date of Action: 03/01/2012
DCN:	5511223344556677	

Do you think your response is missing an action that should have been reported? If so please visit the

Instead of being located on 3 screens, the Report Information, Disclosure Notice, and the report itself now appear on the same screen.

Entity: GENERAL HOSPITAL (FAIRFAX, VA) | User: JohnSmith [Sign Out](#)

VIEW DISCLOSURE

TEST, ROGER | License: Physician (MD) - 222222 (VA) | Enrolled Since 12/2012

Report Information
The content or status of this report has changed as indicated below. Please disregard and destroy all previous versions of this report and any copies, and replace them with the following current version. The table below shows the history of report disclosures that your entity has received for this report.

Date	Disclosure Reason
12/16/2012	The reporting entity identified in this disclosure has submitted correction(s) to report #5511223344556677. The following information was added, corrected or removed: - Home Address
03/01/2011	Initial Enrollment Disclosure

Disclosure Notice [Show](#)

[Save File to Your Computer](#)

the DataBank

P.O. Box 10832
Chantilly, VA 20153-0832
<http://www.npdb-hipdb.hrsa.gov>

DCN: 5511223344556678
Process Date: 12/16/2012
Page: 1 of 2
TEST, ROGER
For authorized use by:
GENERAL HOSPITAL

TEST, ROGER

MARYLAND STATE BOARD

STATE LICENSURE ACTION Date of Action: 03/01/2012

Initial Action	Basis for Initial Action
- Reprimand or Censure	- Writing Improper Prescriptions

A. REPORTING ENTITY

Entity Name: MARYLAND STATE BOARD
Address: 1234 BUSINESS LANE
SUITE 600
City, State, Zip: HALIFAX, MD 22245-6789
Country:
Name of Office: JACK SMITH
Title or Department: DIRECTOR
Telephone: (703) 555-2323
Entity Internal Report Reference:

[Return to Previous Page](#)
[Return to Options](#)

Manage Practitioners - Windows Internet Explorer

Entity: GENERAL HOSPITAL (FAIRFAX, VA) | User: JohnSmith Sign Out

MANAGE PRACTITIONERS

the DataBank
NATIONAL PRACTITIONER
HEALTHCARE INTEGRITY & PROTECTION

Click a practitioner name to view the practitioner detail screen. From there you may view practitioner information, update the practitioner, cancel the enrollment, and view the practitioner's reports.

[Submit New Query](#)
[Advanced Search](#)
[Download](#)

Download Options

Enrollment Confirmations (PDF) [With Reports](#) | [Without Reports](#)

Practitioner Enrollment Data (XLS) | (CSV) | (CSV)

Practitioner Summary (PDF)

Post-Enrollment Disclosure Activity (PDF)
[Last 30 Days](#) | [Last 60 Days](#) | [Last 90 Days](#) | [All](#)

Tip: Use search options to narrow the list to subjects of interest.

Name				Renewal Month	Latest Disclosure
TEST, ROGER [Unviewed D				12/2013	12/16/2012
WATERMELON, JOHN [Unvi				11/2013	12/16/2012
APPLE, PETER				12/2013	
BANANA, SAM				12/2013	01/03/2012
BLUEBERRY, IRVIN				12/2013	01/23/2012
CHERRY, JANE				12/2013	04/04/2012
GRAPEFRUIT, STEVE				12/2013	01/03/2012
KIWI, TOBY	Nurse Practitioner - N444 (VA)	Enrolled		12/2013	
MANGO, JOHN	Registered (Professional) Nurse - LN987 (VA)	Enrolled		12/2013	
MELON, JANE	Physician (MD) - 22545 (VA)	Enrolled		12/2013	
ORANGE, VALERIE	Osteopathic Physician (DO) - DO3333 (VA)	Enrolled		12/2013	01/03/2012

[Return to Options](#)